

इंडियन ऑयल कॉर्पोरेशन लिमिटेड

रजिस्टर्ड ऑफिस : 'इंडियनऑयल भवन',
जी - ९, अली यावर जंग मार्ग, बांद्रा (पूर्व), मुंबई - ४०० ०५९.

Indian Oil Corporation Limited

CIN-L23201MH1959GOI011388

Regd. Office : 'IndianOil Bhavan',

G-9, Ali Yavar Jung Marg, Bandra (East), Mumbai - 400 051.

Tel. : 022-26447616 • Fax : 022-26447961

Email id : investors@indianoil.in • website : www.iocl.com



IndianOil
A Maharatna
Company

Secretarial Department

No. Secl/Listing

25th May 2023

National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Bandra –Kurla Complex, Bandra (E), Mumbai - 400051	BSE Ltd. 1 st Floor, New Trading Ring, P J Tower, Dalal Street, Mumbai - 400001
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Ref.:- Symbol: IOC; Security Code: 530965; ISIN: INE242A01010

Dear Sir,

Sub : Annual Secretarial Compliance Report for the year ended 31st March 2023

In accordance with the Regulation 24A (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we enclosed herewith the Annual Secretarial Compliance Report dated 24th May 2023 for the year ended 31st March 2023 issued by M/s Mehta & Mehta, Company Secretaries (CP No: 2486; Membership No: 5782).

The above is for information and record please.

Thanking you,

Yours faithfully,

For Indian Oil Corporation Limited

(Kamal Kumar Gwalani)
Company Secretary

Mehta & Mehta

COMPANY SECRETARIES

201-206, SHIV SMRITI, 2ND FLOOR, 49/A, DR. ANNIE BESANT ROAD, ABOVE CORPORATION BANK, WORLI, MUMBAI-400 018
TEL : +91-22-6611 9696. • E-mail: dipti@mehta-mehta.com. • Visit us : www.mehta-mehta.com

AUTHORISED AGENTS FOR TRADEMARK, COPYRIGHT AND PATENT

SECRETARIAL COMPLIANCE REPORT OF INDIAN OIL CORPORATION LIMITED FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

We, M/s. Mehta & Mehta, Company Secretaries have examined:

- all the documents and records made available to us and explanation provided by **Indian Oil Corporation Limited** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2023 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (**Not applicable to the Company during the period under review**);
- Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (**Not applicable to the Company during the period under review**);
- Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- Securities and Exchange Board of India (Depositories & Participants) Regulations, 2018 (To the extent applicable);

and circulars/guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:



(I) (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Board of Directors shall have an optimum combination of Executive and Non-Executive Directors with atleast one woman director and not less than 50% of the Board of Directors shall comprise of Non-Executive Directors; Provided that the Board of Directors of the top 500 listed entities shall have atleast one Independent Women Director w.e.f. April 1, 2019.	Regulation 17 (1)(a) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Not having Woman Independent Director	National Stock Exchange of India Limited (NSE) and BSE Limited (BSE)	Fines levied	For the period November 06, 2022 to March 31, 2023 there was no Woman Independent Director on the Board.	Fines levied by NSE and BSE are given in "Annexure B"	For the period November 06, 2022 to March 31, 2023 there was no Woman Independent Director on the Board.	IndianOil being a Govt. Company under the administrative control of Ministry of Petroleum & Natural Gas (MoP&NG), the power to appoint Directors (including Independent Directors) and the terms and conditions of such appointment, including remuneration and evaluation, vests with the	-



ATUL MEHTA
 Company Secretary

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
2.	Where Chairperson of the Board of Directors is a Non-Executive Director, atleast one-third of the Board of Directors shall comprise of Independent Directors and where the listed entity does not have a regular Non-Executive Chairperson, atleast half of the Board of Directors shall comprise of Independent Directors.	Regulation 17 (1)(b) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Not having requisite number of Independent Directors	-	-	During the year 2022-2023, the listed entity could not comply with the requirement of having at least half of the Board of Directors as Independent Directors except for the period 04.10.2022 to 05.11.2022.	-	During the year 2022-2023, the listed entity could not comply with the requirement of having at least half of the Board of Directors as Independent Directors during the period -01.04.22-03.10.22 -06.11.22-31.03.23	Government of India. Thus, the non-compliance is not due to any negligence or default by the listed entity.	-
3.	Regulation 17(10) of SEBI (LODR) Regulations, 2015 which requires performance evaluation of Independent Directors by the entire Board of Directors and Regulation 25(4) which	Regulation 17(10)&25(4) of SEBI(LODR), Regulations 2015	Non compliance with the requirement of performance evaluation of Directors	-	-	During the year 2022-23 Company could not comply with the requirement of performance evaluation of	-	Pursuant to Regulation 17(10)&25(4) of SEBI(LODR) Regulations 2015, company could not comply with the requirement of performance evaluation	As the listed entity is a Government Company the power to appoint Directors and their performance evaluation rests	-



Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
	requires review of performance of Non Independent Directors, the Board of Directors as a whole and the Chairperson of the Company by the Independent Directors.					Directors.		of Directors.	with the Government of India. Thus, the non-compliance is not due to any negligence or default by the listed entity.	
									Further as per notification dated 5th June 2015 issued by Ministry of Corporate Affairs, Government Companies are exempted from complying with the provision of section 134(3)(p) of the Companies Act 2013.	



Atul Mehta Page | 4

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations /Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	The Board of Directors shall have an optimum combination of Executive and Non-Executive Directors with atleast one women director and not less than 50% of the Board of Directors shall comprise of Non-Executive Directors; Provided that the Board of Directors of the top 500 listed entities shall have atleast one Independent Women Director w.e.f. April 1,2019.	Regulation 17(1)(a) of SEBI (LODR) Regulations, 2015	Not having requisite number of Non-executive Directors	NSE & BSE	Fines levied	During the year 2021-22, the listed entity could not comply with the requirement of having not less than 50% of the Board of Directors as Non-Executive Directors during the period 01.04.2021 to 23.11.2021.	Fines levied by NSE and BSE are given in "Annexure C"	Consequent upon appointment of Six new Independent Directors on 24.11.2021, the Company was in compliance with Regulation 17(1)(a) of SEBI (LODR) Regulations, 2015.	-	-
2.	Where the Chairperson of the Board of Directors is a Non-Executive Director, atleast one third of the Board of Directors shall comprise of Independent Directors and where the listed entity does not have a regular Non-Executive Chairperson, atleast half of the Board of	Regulation 17(1) (b) of SEBI (LODR) Regulations, 2015	Not having requisite number of Non-Independent Directors			During the year 2021-22, the listed entity could not comply with the requirement of having at least half of the Board of		Six new Independent Directors were appointed by the Government of India on 24.11.2021. However the listed entity could not comply with the Regulation 17(1) (b) of SEBI (LODR)		-



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Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations /Remarks of the Practicing Company Secretary	Management Response	Remarks
	Directors shall comprise of Independent Directors.					Directors as Independent Directors for the following period: 01.04.2021 to 23.11.2021 and 07.02.2022 to 31.03.2022.		Regulations, 2015 for the period 07.02.2022 to 31.03.2022 due to appointment of a new Whole-time director (Executive Director).		
3.	At least two-third of the members of the Audit Committee shall be Independent Directors and in case of a listed entity having outstanding SR equity shares, the Audit Committee shall only comprise of Independent Directors.	Regulation 18(1)(b) of SEBI (LODR) Regulations, 2015	Not having requisite number of Independent Directors.			During the quarter ended September 2021, the listed entity could not comply with the requirement of having at least two-third of the members of Audit Committee as Independent Directors.		Consequent upon appointment of Six new Independent Directors on 24.11.2021, the Audit Committee was reconstituted on 24.11.2021 and thereafter listed entity was in compliance with Regulation 18(1)(b) of SEBI (LODR) Regulations, 2015.		-
4.	At least fifty percent * of the members shall be Independent Directors * (Two-third w.e.f. 1 st January	Regulation 19(1) (C) of SEBI (LODR) Regulations, 2015	Not having requisite number of Independent			During the quarter ended September 2021, the		Consequent upon appointment of Six new Independent Directors		



ATUL MEHTA * S. No. 12345
 Company Secretary

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations /Remarks of the Practicing Company Secretary	Management Response	Remarks
	2022)		Directors.			listed entity could not comply with the requirement of having atleast fifty percent of the members of the Nomination and Remuneration Committee as Independent Directors.		on 24.11.2021 the Nomination and Remuneration Committee on 24.11.2021 was reconstituted and thereafter the listed entity was in compliance with Regulation 19(1)(C) of SEBI (LODR) Regulations, 2015.		
5.	Performance evaluation of Independent Directors by the entire Board of Directors and also requires review of performance of Non Independent Directors, the Board of Directors as a whole and the Chairperson of the Company by the Independent Directors.	Regulation 17(10) and 25 (4) of SEBI (LODR) Regulations, 2015	The performance evaluation of Directors/ Board was not done by the listed entity.	-	-	Pursuant to Regulation 17(10) & 25(4) of SEBI(LODR), Regulations 2015, company could not comply with the requirement of performance evaluation of Directors.	-	During the year 2021-22, the listed entity could not comply with the requirement of performance evaluation of Directors.	As the listed entity is a Government Company the power to appoint Directors and their performance evaluation rests with the Government of India.	-



Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations /Remarks of the Practicing Company Secretary	Management Response	Remarks
									Thus, the non-compliance is not due to any negligence or default by the listed entity. Further as per notification dated 5th June 2015 issued by Ministry of Corporate Affairs, Government Companies are exempted from complying with the provision of section 134(3)(p) of the	



Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations /Remarks of the Practicing Company Secretary	Management Response	Remarks
									Companies Act 2013.	

II. Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS
1	Compliances with the following conditions while appointing/re-appointing an auditor		
	i. If the auditor has resigned within 45days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or	Not Applicable	Being a Government Company, Statutory Auditors are appointed by Comptroller and Auditor General of India (C & AG) and their terms and conditions of appointments also fixed by C & AG. During the year 2022-23 there is no case of resignation by the Statutory Auditor of the listed entity.
	ii. If the auditor has resigned after 45days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or	Not Applicable	
	iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.	Not Applicable	
2	Other conditions relating to resignation of statutory auditor		
	i. Reporting of concerns by Auditor with respect to the listed entity/ its material subsidiary to the Audit Committee:		
	a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit	Not Applicable	Being a Government Company, Statutory Auditors are appointed by Comptroller and Auditor General of India (C & AG) and their terms and conditions of appointments also fixed by C & AG. During the year 2022-23 there is no case of resignation by the Statutory Auditor of the listed entity.

(Handwritten Signature)



Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS
	<p>process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.</p> <p>b In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information /explanation sought and not provided by the management, as applicable.</p> <p>c The Audit Committee/ Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI/ NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.</p>	Not Applicable	
3	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure-A in SEBI Circular CIR/	Not Applicable	Being a Government Company, Statutory Auditors are appointed by Comptroller and Auditor General of India (C & AG) and their terms and conditions of appointments also fixed by C & AG.



Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS
	CFD/CMD1/114/2019 dated 18 th October, 2019.		During the year 2022-23 there is no case of resignation by the Statutory Auditor of the listed entity.

III. -/we hereby report that, during the review period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS
1.	<u>Secretarial Standard</u> The compliances of listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI)	YES	
2.	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI. 	YES	
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website. • Timely dissemination of the documents/ information under a separate section on the website. • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website. 	YES	
4.	<u>Disqualification of Director:</u> None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013	YES	
5.	<u>To examine details related to Subsidiaries of listed entities:</u> (a) Identification of material subsidiary companies (b) Requirements with respect to disclosure of material as well as other subsidiaries	YES	
6.	<u>Preservation of Documents:</u>	YES	



	The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015		
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations	NO	As the listed entity is a Government Company the power to appoint Directors and their performance evaluation rests with the Government of India. Thus, the non-compliance is not due to any negligence or default by the listed entity. Further as per notification dated 5 th June 2015 issued by Ministry of Corporate Affairs, Government Companies are exempted from complying with the provision of section 134(3)(p) of the Companies Act 2013.
8.	<u>Related Party Transactions:</u> (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee.	YES	
9.	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 alongwith Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	YES	
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	YES	
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	Yes	Stock Exchanges have levied fines as mentioned at Annexure B. However, listed entity has not paid the fines citing that the non-compliance is not due to any negligence or default by the Company.



			The listed entity has submitted responses to Stock Exchanges vide letters dated August 23, 2022, November 22, 2022, March 8, 2023 & May 23, 2023 wherein listed entity has requested to waive off the fines, since the authority for appointment of the directors on the Board of the Company vests with the Government of India. In response to the letters, NSE has waived off the fines for the quarter ended June 2022 & September 2022.
12.	Additional Non-compliances, if any: No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	Not Applicable	There were no such additional non-compliances.

For Mehta & Mehta,

Company Secretaries
(ICSI Unique Code P1996MH007500)



Atul Mehta
Partner

FCS No: 5782
CP No.: 2486



Place: Mumbai
Date: May 24, 2023

UDIN: F005782E000367924

Note: This report is to be read with our letter of even date which is annexed as 'ANNEXURE A, B & C' and forms an integral part of this report.

To,
INDIAN OIL CORPORATION LIMITED
Indian Oil Bhavan,
G-9, Ali Yavar Jung Marg,
Bandra (East),
Mumbai – 400051

Our report of even date is to be read along with this letter.

- 1) Maintenance of record is the responsibility of the management of the listed entity. Our responsibility is to express an opinion on these records based on our verification of the same.
- 2) We have followed the practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. We believe that the processes and practices we followed provide a reasonable basis for our opinion.
- 3) We have not verified the correctness and appropriateness of financial records and Books of Accounts of the listed entity.
- 4) Wherever required, we have obtained the Management representation about the compliance of SEBI laws, rules and regulations thereof.
- 5) The compliance of the provisions of SEBI laws, rules, regulations is the responsibility of management. Our examination was limited to the verification of compliances done by the listed entity.
- 6) As regards the books, papers, forms, reports and returns filed by the listed entity under the above-mentioned regulations, the adherence and compliance to the requirements of the said regulations is the responsibility of management. Our examination was limited to checking the execution and timelines of the filing of various forms, reports, returns and documents that need to be filed by the listed entity under the said regulations. We have not verified the correctness and coverage of the contents of such forms, reports, returns and documents.
- 7) This report is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For **Mehta & Mehta,**
Company Secretaries
(ICSI Unique Code P1996MH007500)


Atul Mehta
Partner
FCS No: 5782
CP No.: 2486



Place: Mumbai
Date: May 24, 2023

UDIN: F005782E000367924

Sr. No.	Action Taken by	Details of violation	Details of action taken e.g., fine, warning letter, debarment, etc.
1	National Stock Exchange of India Limited	Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter June, 2022	NSE vide letter dated August 22, 2022 imposed a fine of ₹ 536900 for the quarter ended June, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter September, 2022	NSE vide letter dated November 21, 2022 imposed a fine of ₹ 542800 for the quarter ended September, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter December, 2022	NSE vide letter dated February 21, 2023 imposed a fine of ₹ 41300 for the quarter ended December, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter March, 2023	NSE vide letter dated May 22, 2023 imposed a fine of ₹ 5,31,000 for the quarter ended March, 2023
2	BSE Limited	Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter June, 2022	BSE vide its email dated August 22, 2022 imposed a fine of ₹ 536900 for the quarter ended June, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter September, 2022	BSE vide its email dated November 21, 2022 imposed a fine of ₹ 542800 for the quarter ended September, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter December, 2022	BSE vide its email dated February 21, 2023 imposed a fine of ₹ 41300 for the quarter ended December, 2022
		Regulation 17(1) SEBI (LODR) Regulations, 2015 quarter March, 2023	BSE vide letter dated May 22, 2023 imposed a fine of ₹ 5,31,000 for the quarter ended March, 2023



ATUL MEHTA
CIN NO. 2486
Company Secretaries

Sr. No.	Action taken by	Details of violation	Details of action taken e.g., fine, warning letter, debarment, etc.
1	National Stock Exchange of India Limited	a) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended June 2021	a) NSE vide its letter dated August 20, 2021, imposed a fine of ₹5,36,900 for quarter ended June 2021
		b) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended September 2021	b) NSE vide its letter dated November 22, 2021, imposed a fine of ₹5,42,800 for quarter ended September 2021
		c) Regulation 17(1), 18(1) and 19(1) of SEBI (LODR) Regulations, 2015 quarter ended December 2021	c) NSE vide its letter dated February 21, 2022, imposed a fine of ₹5,31,000 for the quarter ended December 2021
		d) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended March 2022	d) NSE vide its letter dated May 20, 2022, imposed a fine of ₹3,12,700 for quarter ended March 2022
2	BSE Limited	a) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended June 2021	a) BSE vide its email dated August 20, 2021, imposed a fine of ₹5,36,900 for quarter ended June 2021
		b) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended September 2021	b) BSE vide its email dated November 22, 2021, imposed a fine of ₹5,42,800 for quarter ended September 2021
		c) Regulation 17(1), 18(1), and 19(1) of SEBI (LODR) Regulations, 2015 quarter ended December 2021	c) BSE vide its email dated February 21, 2022, imposed a fine of ₹5,31,000 for the quarter ended December 2021
		d) Regulation 17(1) of SEBI (LODR) Regulations, 2015 quarter ended March 2022	d) BSE vide its email dated May 20, 2022, imposed a fine of ₹3,12,700 for quarter ended March 2022

